DEPLOYING THE INTEGRATED METROPOLITAN INTELLIGENT TRANSPORTATION SYSTEMS (ITS) INFRASTRUCTURE

FY 2005 REPORT

Summary

In January 1996, the Secretary of Transportation set a goal of deploying the integrated metropolitan Intelligent Transportation System (ITS) infrastructure in 75¹ of the nation's largest metropolitan areas by 2005. Using data from surveys administered to transportation agencies in major metropolitan areas since 1997, the ITS Joint Program Office rates each area as having achieved High, Medium, or Low integrated ITS deployment. The Secretary's goal will be achieved when all of the 75 metropolitan areas are rated either High or Medium.

The 2005 goal of 75 areas achieving either a High or Medium level of integrated ITS deployment was not met. Only a single metropolitan area advanced from a Low to a Medium level of integrated ITS deployment during FY 2005. This resulted in a cumulative total of 63 areas with either a Medium or High rating. This represents a shortfall of 12 areas when compared against the FY 2005 target.

Purpose

In January 1996, the Secretary of Transportation set a goal of deploying the integrated metropolitan Intelligent Transportation System (ITS) infrastructure in 75 of the nation's largest metropolitan areas by 2005:

"I'm setting a national goal: to build an intelligent transportation infrastructure across the United States to save time and lives, and improve the quality of life for Americans. I believe that what we do, we must measure . . . Let us set a very tangible target that will focus our attention . . . I want 75 of our largest metropolitan areas outfitted with a complete intelligent transportation infrastructure in 10 years."

This paper reports the 2005 status of integrated deployment in these 75 sites and presents an estimate of progress toward fulfillment of the Secretary's goal.

¹ Since the Secretary of Transportation's speech, the number of metropolitan areas that DOT will measure has been increased from 75 to 78. However, to maintain reporting consistency across the 10-year goal period, this report considers only the original 75 metropolitan areas.

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² Excerpt of a speech delivered by the Secretary of Transportation at the Transportation Research Board in Washington, DC on January 10, 1996.

Measuring Deployment - The Deployment Tracking Methodology

Traditionally, the product of a transportation infrastructure investment consists of a fixed asset such as a highway, bridge, or public transportation vehicle developed, constructed, or purchased by a single agency. Tracking the level of deployment for such fixed assets can be accomplished by simply counting the number deployed. Measuring the deployment of the metropolitan ITS infrastructure is more complex because it consists of a set of systems, often deployed by multiple agencies, and integrated through a combination of complex institutional and technical arrangements. To track progress, it is not sufficient to simply count the number of systems deployed without first devising a measurement approach that captures the essential features of such systems in a consistent fashion across many deployment environments.

In order to track progress toward fulfillment of the Secretary's goal for integrated deployment, the U.S. Department of Transportation ITS Joint Program Office developed the metropolitan ITS deployment tracking methodology. This methodology tracks deployment of the nine components that make up the ITS infrastructure: Freeway Management; Incident Management; Arterial Management; Emergency Management; Transit Management; Electronic Toll Collection; Electronic Fare Payment; Highway-Rail Intersections; and Regional Multimodal Traveler Information. Through a set of indicators tied to the major functions of each component, the level of deployment is tracked for the 75 largest metropolitan areas. In addition, the integration links between agencies operating the infrastructure are also tracked. The details of the methodology are explained elsewhere.³

Setting and Measuring Goals Using Deployment Tracking Data

The Secretary's goal calls for the deployment of a "complete intelligent transportation infrastructure" in each metropolitan area. Ideally, each metropolitan area would have a locally defined set of deployment goals that constitute a "complete" deployment for the area. These locally defined deployment goals could then provide the basis for assessing how close an area is to "complete" deployment as envisioned by the Secretary's goal in a "bottom-up" fashion.

A comprehensive set of locally defined deployment goals is not currently available. Therefore, it was necessary to develop a methodology to determine the level of deployment for an area based on a "top-down" approach. A set of deployment threshold values were identified and applied across all metropolitan areas in order to categorize each metropolitan area into one of three levels of deployment: High, Medium, or Low. These threshold values were established in a way that allowed demarcation of meaningful progress toward an achievable 10-year goal. Similar thresholds were developed for rating integration.

"http://www.itsdocs.fhwa.dot.gov//JPODOCS/REPTS_TE/3DG01!.PDF, EDL#4372."

inp.//www.insuocs.inwa.dot.gov//31 ODOCS/REA 15_1E/5DOOT...I D1, EDE#+572

³ U.S. DOT(1999). "Measuring ITS Deployment and Integration.

The assignment of a single integrated deployment rating for each metropolitan area was accomplished using a three-step process. First, the current level of deployment of the ITS infrastructure components at each metropolitan area was determined. These data were compared to an established threshold level for each component to determine a deployment rating. Next, an integration rating was assigned to each area based on the degree to which its infrastructure components are integrated. Finally, the resulting ratings for deployment and integration were combined into a single overall integrated deployment rating.

Crossing a threshold value for either deployment or integration means that a metropolitan area has made a significant commitment to deploy and integrate the metropolitan ITS infrastructure. However, it does not mean that deployment or integration is complete. Figure 1 shows that, even in the High level of deployment, a metropolitan area may still have "miles to go" in completing full deployment. A significant level of investment of time and money is needed to organize and perform initial planning for metropolitan areas categorized as Low, in order to build deployment momentum. Metropolitan areas in the Medium stage are moving rapidly toward full deployment through leveraging the important initial investments in ITS infrastructure. Metropolitan areas in the High category are beginning to experience still higher rates of return on investment in ITS; however, these metropolitan areas still need continued investment to bring them up to complete deployment. In these High rated metropolitan areas, new systems are being added to an already robust infrastructure, and integration is multiplying the impact of deployments, producing more "bang for the buck." All this adds up to a solid and expanding base for deploying the integrated infrastructure, but only with a sustained commitment of time and resources.

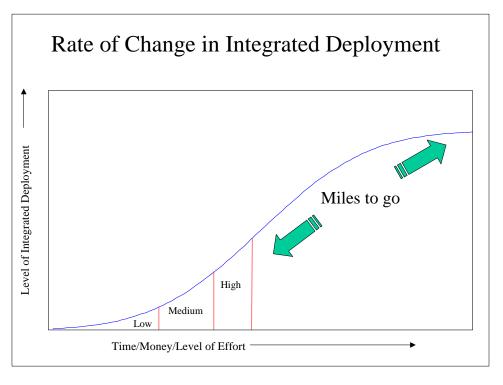


Figure 1. Rate of change in Integrated Deployment

Measuring the Level of Component Deployment

The process for determining the level of infrastructure deployment in a metropolitan area makes use of the indicators and threshold values contained in Table 1. A metropolitan area is rated High in component deployment if it exceeds the threshold value for at least one of the indicators in each of the five components. A metropolitan area is rated Medium in component deployment if it exceeds the threshold value for Freeway Management/Incident Management or Transit Management/Electronic Fare Payment and at least one other component. A metropolitan area is rated Low in component deployment if it exceeds the threshold value for one or fewer components.

Table 1
Component Indicators and Threshold Values Used to Measure the Presence of ITS
Component Deployment

ITS Components	Component Indicators	Threshold Values			
Freeway	% freeway miles under	Greater than or equal to			
Management/Incident	electronic surveillance; %	20%			
Management	freeway miles with Freeway				
	Service Patrols; % freeway				
	miles with CCTV				
Transit	% buses equipped with	Greater than or equal to			
Management/Electronic	AVL; % buses equipped	33%			
Fare Payment	with electronic fare				
	payment				
Arterial Management	% signalized intersections	Greater than or equal to			
	under computerized control	33%			
Regional Multimodal	% geographic coverage of	Greater than or equal to			
Traveler Information	traveler information from	10%			
	freeway electronic				
	surveillance and freeway				
	CCTV cameras ⁴				
Emergency Management	% emergency vehicles	Greater than or equal to			
Services	operating under CAD	33%			

Measuring the Level of Integration

The level of integration in a metropolitan area is measured using a defined set of links involving the three major organizations that operate the infrastructure: states that manage Freeway Management and Incident Management components; local governments, that manage most of the Arterial Management components; and public transit authorities that manage the Transit Management component. A link is considered present if any integration indicator connecting agencies has a value greater than zero. These indicators

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⁴ In 2003, the definition of coverage for traveler information was expanded to include the coverage of freeway CCTV where travelers have access to the CCTV images through the Internet or other means.

involve real-time operational coordination and include: sharing information with other agencies on traffic conditions or incidents by arterial or freeway agencies, provision for transit vehicles to obtain priority at arterial traffic signal or freeway ramp meters, and the use of transit vehicles as probes on arterials or freeways. An integration level of High is assigned to a metropolitan area if all three links are present. An integration level of Medium is assigned if any two out of three links are present. An integration level of Low is assigned if one or fewer links are present.

Measuring the Level of Integrated Deployment

The two High/Medium/Low classifications for both integration and component deployment are combined into a single High/Medium/Low category using the rules contained in Table 2.

Table 2
Combined High/Medium/Low Classification Scheme

Component Classification	Integration Classification	Combined Classification
High	High	High
High	Medium	Medium
High	Low	Medium
Medium	High	High
Medium	Medium	Medium
Medium	Low	Low
Low	High	Medium
Low	Medium	Medium
Low	Low	Low

2005 Status of Integrated ITS Deployment

The Secretary's goal will be achieved when all of the 75 metropolitan areas are rated either High or Medium. Progress has been tracked by comparing the number of metropolitan areas achieving either a Medium or High level of integrated ITS deployment against intermediate targets.

Transportation agencies in the 75 metropolitan areas being tracked were surveyed concerning deployment and integration. Data were gathered in separate national survey efforts conducted in six years: 1997, 1999, 2000, 2002, 2004, and 2005. There was no national survey in 1998, 2001, and 2003. To track goal progress in the years without a national survey, a limited telephone survey was conducted in 2001, and repeated in 2003. These telephone surveys were restricted to agencies in the metropolitan areas that had received a rating of Low for integrated deployment.

The 1997 survey established the baseline level of deployment and provided a basis to identify the average rate of deployment growth required to fulfill the Secretary's goal by 2005, and thereby established a set of intermediate deployment targets. These targets and

the actual number of areas rated either Medium or High are shown in Figure 2. Table 3 summarizes the ratings for all 75 metropolitan areas for FY 1997 and FY 1999 to FY 2005.

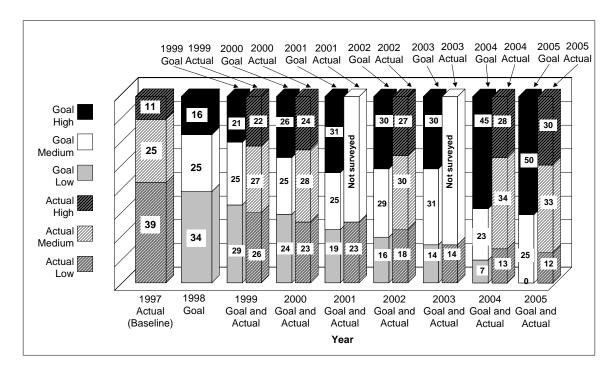


Figure 2. Progress in Integrated Metropolitan ITS

Figure 2 shows that in FY 2005, the target level for areas with either a Medium or High integrated ITS deployment level was 75 areas. The FY 2005 survey results indicated that a total of 63 areas achieved these levels of deployment – a shortfall of twelve areas. During FY 2005, one metropolitan area advanced from an overall Low rating to a Medium rating and two metropolitan areas advanced from an overall Medium rating to a High rating.

Table 3 lists the 75 metropolitan areas and their respective level of integrated deployment for 1997, 1999, 2000, 2001, 2002, 2003, 2004, and 2005. As previously discussed, these ratings combine information concerning deployment and integration into a single overall measure. Areas with a High or Medium level of integrated deployment in 2005 are listed at the top of the table, followed by areas with a Low level of integrated deployment. The two metropolitan areas advancing to High and the only metropolitan area advancing to Medium are in bold.

Table 3
Metropolitan Areas and Their Respective Level of Integrated ITS Deployment:

	Integrated-Deployment Level								
	1997	1999	2000	2001*	2002	2003*	2004	2005	
Atlanta, GA	High	High	High		High		High	High	
Albany, Schenectady,	Low	Med	Med		High		High	High	
Troy, NY					_				
Baltimore, MD	Med	High	High		High		High	High	
Buffalo, Niagara	Med	Med	Med		High		High	High	
Falls, NY									
Charlotte, Gastonia,	Med	High	High		High		High	High	
NC; Rock Hill, SC									
Chicago, Lake	Med	High	High		High		High	High	
County, IL; Gary, IN									
Cincinnati, Hamilton,	High	High	High		High		High	High	
OH									
Dallas, Fort Worth,	Med	High	High		High		High	High	
TX									
Detroit, Ann Arbor,	Med	High	High		High		High	High	
MI									
Greensboro,	Low	High	High		High		High	High	
Winston-Salem, High									
Point, NC									
Houston, Galveston,	High	High	High		High		High	High	
Brazoria, TX		3.5.1							
Jacksonville, FL	Med	Med	High		High		High	High	
Los Angeles,	High	High	High		High		High	High	
Anaheim, Riverside,									
CA	3.7.1	3.6.1	TT' 1		TT' 1		TT' 1	TT' 1	
Miami, Fort	Med	Med	High		High		High	High	
Lauderdale, FL	3.7.1	TT' 1	TT' 1		TT' 1		TT' 1	TT' 1	
Milwaukee, Racine,	Med	High	High		High		High	High	
WI Minneapolis, St.	High	I Li ala	III ala		High		III ala	III ala	
_	High	High	High		High		High	High	
Paul, MN	Lligh	Lligh	Lligh		Lliah		High	High	
New York, NY; Northern New Jersey,	High	High	High		High		High	High	
NJ; Southwestern									
Connecticut, CT									
Orlando, FL	Med	High	High		High		High	High	
Philadelphia, PA;	Med	High	High		High		High	High	
Wilmington, DE;	ivicu	Ingn	Ingn		IIIgii		mgn	Ingn	
Trenton, NJ									
Phoenix, AZ	High	High	High		High		High	High	
I HOUHA, AL	mgn	mgn	Ingn	l	mgn		rngn	ingn	

	Integrated-Deployment Level								
	1997	1999	2000	2001*	2002	2003*	2004	2005	
Portland, OR;	High	High	High		High		High	High	
Vancouver, WA									
Providence,	Low	Med	Med		Med		Med	High	
Pawtucket, RI; Fall									
River, MA									
Salt Lake City,	Low	Med	Med		High		High	High	
Ogden, UT									
San Antonio, TX	Med	High	High		High		High	High	
San Diego, CA	High	High	High		High		High	High	
San Francisco,	Med	High	High		High		High	High	
Oakland, San Jose,									
CA									
Seattle, Tacoma, WA	High	High	High		High		High	High	
Tampa, St.	Low	Med	Med		Med		Med	High	
Petersburg,									
Clearwater, FL									
Tucson, AZ	Low	Med	Med		Med		High	High	
Washington, DC	High	High	High		High		High	High	
Allentown,	Med	Med	Med		Med		Med	Med	
Bethlehem, Easton,									
PA									
Austin, TX	Med	Med	Med		Med		Med	Med	
Bakersfield, CA	Low	Low	Low	Low	Med		Med	Med	
Baton Rouge, LA	Low	Low	Med		Med		Med	Med	
Boston, Lawrence,	Med	Med	Med		Med		Med	Med	
Salem, MA									
Birmingham, AL	Low	Low	Med		Med		Med	Med	
Charleston, SC	Low	Low	Low	Low	Low	Med	Med	Med	
Cleveland, Akron,	Med	Med	Med		Med		Med	Med	
Lorain, OH									
Columbus, OH	Low	Low	Low	Low	Low	Med	Med	Med	
Denver, Boulder, CO	Med	Med	Med		Med		Med	Med	
El Paso, TX	Low	Low	Low	Low	Med		Med	Med	
Fresno, CA	Low	Low	Low	Low	Med		Med	Med	
Grand Rapids, MI	Low	Low	Low	Low	Med		Med	Med	
Greenville,	Low	Low	Low	Low	Low	Med	Med	Med	
Spartanburg, SC									
Hampton Roads, VA	Med	Med	Med		Med		Med	Med	
Harrisburg, Lebanon, Carlisle, PA	Low	Med	Med		Med		Med	Med	
Hartford, New	Low	Med	Med		Med		Med	Med	
Britain, Middletown,									
CT									

	Integrated-Deployment Level							
	1997	1999	2000	2001*	2002	2003*	2004	2005
Kansas City, MO	Low	Low	Med		Med		Med	Med
Knoxville, TN	Low	Low	Low	Low	Low	Low	Low	Med
Memphis, TN	Med	Med	Med		Med		Med	Med
Nashville, TN	Low	Low	Low	Low	Low	Med	Med	Med
New Haven,	Med	Med	Med		Med		Med	Med
Meriden, CT		3.5.1	3.5.1		3.5.1		26.1	26.1
New Orleans, LA	Low	Med	Med	_	Med		Med	Med
Omaha, NB	Low	Low	Low	Low	Med		Med	Med
Pittsburgh, Beaver Valley, PA	Med	Med	Med		Med		Med	Med
Raleigh-Durham, NC	Med	Med	Med		Med		Med	Med
Richmond,	Low	Med	Med		Med		Med	Med
Petersburg, VA								
Rochester, NY	Med	Med	Med		Med		Med	Med
Sacramento, CA	Med	Med	Med		Med		Med	Med
Scranton, Wilkes-	Low	Med	Med		Med		Med	Med
Barre, PA								
St. Louis, MO	Low	Med	Med		Med		Med	Med
Syracuse, NY	Low	Low	Low	Low	Low	Low	Med	Med
West Palm Beach,	Low	Med	Med		Med		Med	Med
Boca Raton, Delray, FL								
Dayton, Springfield,	Low	Low	Low	Low	Low	Low	Low	Low
OH	LOW	Low	Low	Low	Low	Low	LOW	Low
Honolulu, HI	Low	Low	Low	Low	Low	Low	Low	Low
Indianapolis, IN	Low	Low	Low	Low	Low	Low	Low	Low
Las Vegas, NV	Low	Low	Low	Low		Low	Low	
Little Rock, North	Low	Low	Low	Low	Low	Low	Low	Low Low
Little Rock, North	Low	Low	Low	Low	Low	Low	LOW	Low
Louisville, KY	Low	Low	Low	Low	Low	Low	Low	Low
	Low	Low Low	Low	Low	Low	Low	Low Low	
Oklahoma City, OK	Low		Low	Low	Low	Low		Low
Springfield, MA	Low	Low	Low	Low	Low	Low	Low	Low
Toledo, OH	Low	Low	Low	Low	Low	Low	Low	Low
Tulsa, OK	Low	Low	Low	Low	Low	Low	Low	Low
Wichita, KS	Low	Low	Low	Low	Low	Low	Low	Low
Youngstown, Warren, OH	Low	Low	Low	Low	Low	Low	Low	Low

^{*2001} and 2003 ratings are based on a telephone survey of metropolitan areas rated Low in 2000 and 2002. Cities ranked Medium and High in 2000 and 2002 were not evaluated in 2001 and 2003 and were not assigned a ranking in those years.

Summary and Conclusions

This paper documents progress toward fulfillment of the Secretary's goal of deploying a complete intelligent transportation infrastructure in 75 of the nation's largest metropolitan areas by FY 2005. The methodology for measuring this progress has been described along with the FY 2005 status of deployment. The methodology relies on a "top-down" approach to goal setting absent a set of "bottom-up" goals for each metropolitan area.

The results suggest that, while a significant level of progress has been made, even among deployment leaders there are still "miles to go" before a complete infrastructure is deployed. The FY 2005 survey results indicate that a total of 63 areas achieved this level of deployment – a shortfall of twelve areas. During FY 2005, one metropolitan area advanced from an overall Low rating to a Medium rating and two metropolitan areas advanced from an overall Medium rating to a High raring.